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Education:

Ph.D. — University of Massachusetts, Amherst, USA
Major: Finance; Minor: Quantitative Methods
Dissertation Title: *An Empirical Analysis of Alternative Portfolio Insurance Strategies in International Asset Management*

M.B.A. — University of Toledo, USA
Major: Finance

B.Soc.Sc. (Hons) — University of Hong Kong, Hong Kong
Major: Management Studies; Minor: Economics

Professional Designations:

CFA® — CFA Institute, USA
A.C.I.S. — Institute of Chartered Secretaries and Administrators (Financial Administration), United Kingdom (Dormant)

Licenses:

Registered Investment Advisor in Hong Kong, 1998-2005.
Registered Commodity Trading Advisor in Hong Kong, 1998-2005.

Editorial Boards:

Co-Editor, Special Issue, *Journal of Teaching International Business*, 2018.
Editorial Board Member, *International Review of Accounting, Banking, and Finance*, 2009-date.
Special Editor (Risk Management), *The Journal of Alternative Investments*, 2008-10.
Editorial Board Member, *The Journal of Alternative Investments*, 2005-8.
Associate Editor, *The Journal of Alternative Investments*, 2000-5.
Editorial Review Board Member, *Journal of Transnational Management Development*, 1994-7.

Honors/Awards:

George Albers Professor, Seattle University, 2017-20.
Fellow of Albers Center for Global Business, Seattle University, 2013-date.
Dr. Khalil Dibee Endowed Chair in Finance, Seattle University, 2011-5.
'Big Hit' Award for an article published in a top peer-reviewed journal, Albers School of Business and Economics, Seattle University, 2011.
Research Associate, Institute for Global Asset and Risk Management, 2009-11.
Robert D. O'Brien Endowed Chair of Business, Seattle University, 2007-9.
Nominated Professor of the Year, Beta Gamma Sigma, Seattle University, various years since 2004.
The Graduate School Fellowship, University of Massachusetts, 1987.
FMA Doctoral Student Seminar Fellow, University of Massachusetts, 1987.
Beta Gamma Sigma, University of Massachusetts, 1987.
Outstanding Scholastic Achievement Award, University of Toledo, 1984.
Richard Lein Memorial Scholarship, University of Toledo, 1983.
The Board of Trustees Scholarship, University of Toledo, 1983.

Academic Experience:

Professor of Finance, Albers School of Business and Economics, Seattle University, 2005-date. Joined as Associate Professor in 2001; tenured in 2004; promoted in 2005.

Chair, Department of Finance, Seattle University, 2017-22.

George Albers Professor, Albers School of Business and Economics, Seattle University, 2017-20.

Dr. Khalil Dibee Endowed Chair in Finance, Seattle University, 2011-5.

Robert D. O'Brien Endowed Chair of Business, Seattle University, 2007-9.

Chair, Department of Finance, Seattle University, 2005-6.

Chair, Department of Economics and Finance, Seattle University, 2004-5.

Program Director, Master of Science in Finance, Seattle University, 2004-6, 2019-22.

Adjunct Professor of Finance, MSF (Hong Kong) Program, University of Michigan-Dearborn, 2004-10.

Assistant/Associate Professor of Finance, School of Management, George Mason University, 1988-2001.

Industry Experience:

Co-Founder, Director, and Registered Investment Advisor and Commodity Trading Advisor, Strategic Options Investment Advisors Ltd., Hong Kong, 1998-2005.

Managing Director, Independent Research & Consulting Ltd., Hong Kong, 1997-9.

Research Associate, Financial Futures Department, Kidder, Peabody & Co., Inc., NY, USA, 1987.

Associate, TRS Associates, MA, USA, 1985-8.

Assistant Accountant, Dow Chemical Pacific Ltd., Hong Kong, 1982.

Accountant (Concurrent Appointment), Shearson Finance Asia Ltd., Hong Kong, 1981-2.

Assistant to Vice President (Finance and Operations), Shearson/American Express Asia Ltd., Hong Kong, 1980-2.

Books:

[3] *Dim Sum Bonds: The Offshore Renminbi (RMB)-Denominated Bonds*, Hung-Gay Fung, Glenn Ko, and Jot Yau. John Wiley & Sons, 2014. (Book Review by Brenda Jubin at http://seekingalpha.com/article/2017461-book-review-fung-ko-and-yaus-dim-sum-bonds, February 13, 2014)

[2] *Socially Responsible Investment in a Global Environment*, Hung-Gay Fung, Sheryl A. Law, and Jot Yau. Edward Elgar Publishing Limited, U.K., 2010. (Book Review by Rick Docksai in *World Future Review*, Spring 2012, pp. 87-90)

[1] *Advances in International Investments: Traditional and Alternative Approaches*, Hung-Gay Fung, X.

Eleanor Xu, and Jot Yau (editors). Singapore: World Scientific Publishing Ltd., 2008.

Refereed Publications:

[49] Popova, I., and J. Yau. "Computing Optimal Portfolios of Multi-Assets with Tail Risk: The Case of Bitcoin," *Applied Economics Letters*, 2022. DOI: 10.1080/13504851.2022.2074352

[48] Chan, K.C., A. Fung, H.-G. Fung, and J. Yau. "Coauthorship in Academic Journals: Implications for Corporate Collaboration and Strategic Alliance," *Managerial and Decision Economics*, V. 41, #7, October 2020, pp. 1162-1173. https://doi.org/10.1002/mde.3163.

[47] Fung, H.-G., P. Qiao, J. Yau, and Y. Zeng. "Leader Narcissism and Outward Foreign Direct Investment: Evidence from Chinese Firms," *International Business Review*, V. 29, February 2020, 101632. https://doi.org/10.1016/j.ibusrev.2019.101632.

[46] Le, Q., T. Ling, and J. Yau. "Do International Co-Curricular Activities Have Impact on Cultivating Global Mindset in Business School Students?" *Journal of Teaching International Business*, V. 29, No. 1, 2018, pp. 62-75.

[45] Chan, K. C., A. Fung, H.-G. Fung, and J. Yau. "A Conceptual Framework for Instilling a Global Mindset in Business Students," *Journal of Teaching International Business*, V. 29, No. 1, 2018, pp. 4-19. (Lead article)

[44] Chan, K. C., H.-G. Fung, and J. Yau. "Advancing Learning in International Business Related to a Global Mindset Conceptual Framework for Instilling a Global Mindset: An Introduction," *Journal of Teaching International Business*, V. 29, No. 1, 2018, pp. 1-3.

[43] Fung, H.-G., G. Ko, T. Ling, and J. Yau. "The Offshore Renminbi Bonds: The Dim Sum and Formosa Bonds." *The Chinese Economy*, V. 49, No. 4, 2016, pp. 287-299.

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[41] Chan, K. C., A. Fung, H.-G. Fung, and J. Yau. "The Ranking of Institutions and Academic Journals: A Selective Review and a Conceptual Framework," *Managerial Finance*, V. 42, No. 4, 2016, pp. 292-302. (Lead article)

[40] Fung, H.-G., C.-H. Hsu, W. Lee, and J. Yau. "Dim Sum Bonds: Do They Whet Your Appetite?" *Journal of Portfolio Management*, V. 41, No. 2, Winter 2015, pp. 127-135.

[39] Fung, H.-G., G. Ko, and J. Yau. "Dim Sum Bonds: A Return and Risk Analysis," *Journal of Investing*, V. 23, No. 2, Summer 2014, pp. 59-68.

[38] Lee, H.W., Y.A. Xie, and J. Yau. "Sovereign Risk and its Changing Effects on Duration during Financial Crisis," *Applied Financial Economics*, V. 24, No. 22, November 2014, pp.1465-1477.

[37] Su, Z., H.-G. Fung, and J. Yau. "Political Connections and Firm Overinvestment: Evidence from China," *International Journal of Accounting and Information Management*, V. 21, No. 4, 2013, pp. 285-296.
[36] Fung, H.-G., J.-Y. Wu, and J. Yau. "Recent Policy Changes Toward the Internationalization of the Renminbi: A Review," *The Chinese Economy*, V. 46, No. 4, July-August, 2013, pp. 6-24. (Lead article)

[35] Chan, K.C., H.-G. Fung, and J. Yau. "Business Ethics Research: Predominant Partners and Dissemination

Channels," *Business Ethics: European Review*, V. 22, No. 3, July 2013, pp. 263-276.

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[33] Fung, H.-G., D. Tzau, and J. Yau. "Offshore Renminbi-Denominated Bonds: Dim Sum Bonds," *The Chinese Economy*, Vol. 46, No. 2, March-April 2013, pp. 6-28. (Lead article)

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[27] Lee, H.W., Y.A. Xie, and J. Yau. "The Impact of Sovereign Risk on Bond Duration: Evidence from Asian Sovereign Bond Markets," *International Review of Economics and Finance*, V. 20, Issue 3, June 2011, pp. 441-451.

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[16] Li, J., and J. Yau. "China's Banking Reform – A Single Step in a Thousand-Mile Journey," *International Journal of Business*, 6(2), Fall 2001, pp. 87-110.

[15] Wang, G.H.K., and J. Yau. "Trading Volume, Bid-Ask Spread, and Price Volatility in Futures Markets," *Journal of Futures Markets*, V.20, #10, November 2000, pp. 943-970.

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[3] Yau, J., U. Savanayana, and T. Schneeweis. "The Effect of Alternative Return Measures in Financial

Futures Research," in F. Fabozzi (ed.) *Advances in Futures and Options Research*, Volume 4, Greenwich, Connecticut: JAI Press, 1990.

[2] Yau, J., T. Schneeweis, and K. Yung. "The Behavior of Stock Index Futures Prices in Hong Kong: Before and After the Crash," in S.G. Rhee and R.P. Chang (eds.) *Pacific-Basin Capital Markets Research*. Amsterdam, The Netherlands: Elsevier Science Publishers, B.V. (North-Holland), 1990.

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Book Chapters:

[16] Yau, J., T. Schneeweis, E. A. Szado, T. R. Robinson, and L. R. Weiss. "Alternative Investments Portfolio Management," in *Candidate Reading* for Level III CFA Exam 2018 (125 pages), 2018.

[15] Fung, H.-G., Y. Tse, J. Yau, and L. Zhao. "The Leading Role of the Chinese Futures in the World Commodity Futures Markets," in *Frontiers of Economics and Globalization*, H.-G. Fung and Y. Tse (editors), Volume 13, Elsevier, 2013.

[14] Fung, H.-G., D. Tzau, and J. Yau. "A Global Chinese Renminbi Bond Market: The Dim Sum Bond Market," in *Frontiers of Economics and Globalization*, H.-G. Fung and Y. Tse (editors), Volume 13, Elsevier, 2013.

[13] Xie, Y. A., J. Yau, and H. Lee. "Managing Risk in Sovereign Bond Portfolios: The Impact of Sovereign Call Risks on Duration," in *Frontiers of Economics and Globalization*, H.-G. Fung and Y. Tse (editors), Volume 13, Elsevier, 2013.

[12] Fung, H.-G., X.E. Xu, and J. Yau. "International Investment: Current State and Challenges from the US Perspective," in *Advances in International Investments: Traditional and Alternative Approaches*, H.-G. Fung, X. E. Xu, and J. Yau (editors). Singapore: World Scientific Publishing Ltd., 2008.

[11] Law, S.A., and J. Yau. "Socially Responsible Investing: Growth and Development in International Financial Markets," in *Advances in International Investments: Traditional and Alternative Approaches*, H.-G. Fung, X. E. Xu, and J. Yau (editors). Singapore: World Scientific Publishing Ltd., 2008.

[10] Yau, J., and G.K. Yeung. "Hedge Funds," in *Advances in International Investments: Traditional and Alternative Approaches*, H.-G. Fung, X. E. Xu, and J. Yau (editors). Singapore: World Scientific Publishing Ltd., 2008.

[9] Yau, J., T. Schneeweis, T. Robinson, and L. Weiss. "Alternative Investments Portfolio Management," in *Managing Investment Portfolio: A Dynamic Process*, 3rd edition, The CFA Institute. New York: Wiley Finance, 2007. (This 102-page chapter is a required candidate reading for the CFA Exam Level III, Study Session 12 and is accompanied by a 18-page problems and solutions in *Managing Investment Portfolio Workbook: A Dynamic Process*, 3rd edition, The CFA Institute. New York: Wiley Finance, 2007.)

[8] Yau, J. "Futures Markets," in K.C. Chan, H.-G. Fung, and Q. W. Liu (eds), *China's Capital Market: Challenges from WTO Membership*, Edward Elgar Publishing, 2007.

[7] Schneeweis, T., and J. Yau. "Financial Futures Markets," in D. Logue and J. Seward (eds.) *Handbook of Modern Finance*, 2002-2 Edition. Boston, MA: Warren, Gorham & Lamont, 2002.

[6] Schneeweis, T., and J. Yau. "Financial Futures Markets," in D. Logue (ed.) *The WG&L Handbook of Financial Markets*, Boston, MA: Warren, Gorham & Lamont, 1995.

[5] Yau, J. "Asian Development Bank," in *Great Events from History II: Business and Commerce*, Pasadena, CA: Salem Press, 1994. (Republished in F.N. Magill (ed.) *Chronology of Twentieth Century History: Business and Commerce*, Fitzroy Dearborn Publishers, London.)

[4] Schneeweis, T., and J. Yau. "Financial Futures Markets," in D. Logue (ed.) *Handbook of Modern Finance*, 3d edition. Boston, MA: Warren, Gorham & Lamont, 1993.

[3] Yau, J., U. Savanayana, and T. Schneeweis. "Alternative Performance Models in Interest Rate Futures," in B.A. Goss (ed.) *Rational Expectations and Efficiency in Futures Markets*. London and New York: Routledge, 1992.

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Contribution to Book Chapters:

[1] Chapter 8, "Alternative Investments" in Solnik, B. and McLeavey, D. *International Investments*, 5th edition, Addison-Wesley, 2003.

Book Reviews:

[5] Yau, J. "Review of *Risk Budgeting: A New Approach to Investing?*" *The Journal of Alternative Investments*, Volume 4, Number 4, Spring 2002, pp. 91-92.

[4] Yau, J. "Review of *Added Value in Financial Institutions: Risk or Return?*" *The Journal of Alternative Investments*, Volume 4, Number 2, Fall 2001, pp. 75-77.

[3] Yau, J. "Review of *The Inefficient Stock Market: What Pays Off And Why?*" *The Journal of Alternative Investments*, Volume 4, Number 2, Fall 2001, pp. 73-74.

[2] Yau, J. "Review of *Managing Hedge Fund Risk: From the Seat of the Practitioner,*" *The Journal of Alternative Investments*, Volume 4, Number 1, Summer 2001, pp. 81-82.

[1] Yau, J. "Review of *The Venture Capital Cycle*," *The Journal of Alternative Investments*, Volume 4, Number 1, Summer 2001, pp. 83-84.

Research Article Reviews:

[1] Yau, J. "Review of 'Hedge Fund Performance and Manager Skill?'" *The Journal of Alternative Investments*, Volume 4, Number 4, Spring 2002, pp. 93-94

Published Teaching Cases:

[2] "Pacific Century Cyberworks: A Road to Privatisation," (with Hung-Gay Fung and Gerald Yong Gao)

Asian Case Research Center, University of Hong Kong and Harvard Business Publishing, 2009.

[1] "Pacific Century Cyberworks: A Road to Privatisation - Teaching Note," (with Hung-Gay Fung and Gerald Yong Gao) *Asian Case Research Center, University of Hong Kong and Harvard Business Publishing*, 2009.

Professional Publications:

[13] Yau, J. "Summary on 'Demographic Trends, the Dividend-Price Ratio, and the Predictability of Long-Run Stock Market Returns,' by Carlo A. Favero, A. E. Gozluklu, and A. Tamoni, *Journal of Financial and Quantitative Analysis*, vol. 46, no. 5 (October 2011): 1493-1520," *CFA Digest*, Vol. 42, No. 3, August 2012, pp. 156-158.

[12] Yau, J. "Summary on 'Are Incentive Contracts Rigged by Powerful CEOs?' by A. Morse, V. Nanda, and A. Seru, *Journal of Finance*, vol. 66, no. 5 (October 2011): 1779-1821," *CFA Digest*, Vol. 42, No. 1, February 2012, pp. 57-59.

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[9] Yau, J. "Summary on 'Sustainable Retirement Income for the Socialite, the Gardener, and the Uninsured,' by C. Robinson and N. Tahani, *Financial Services Review*, v19, n3 (Fall 2010): 187-202," *CFA Digest*, Vol. 41, No. 2, May 2011, pp. 88-89.

[8] Yau, J. "Summary on 'Is "Voting with Your Feet" an Effective Mutual Fund Governance Mechanism?' by M. Qian, *Journal of Corporate Finance*, v17, n1, February 2011: 45-61," *CFA Digest*, Vol. 41, No. 2, May 2011, pp. 10-11.

[7] Yau, J. "Summary on 'Individual Investors and Local Bias,' by M. S. Seasholes and N. Zhu, *Journal of Finance*, v65, n5, October 2010: 1987-2010," *CFA Digest*, Vol. 41, No. 1, February 2011, pp. 6-7.

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[5] Yau, J. "Summary on 'Emerging Market Hedge Funds: Do They Perform Like Regular Hedge Funds?' by B. A. Abugri and S. Dutta, *Journal of International Financial Markets, Institutions & Money*, December 2009, v19, n5: 834-849," *CFA Digest*, Vol. 40, No. 2, May 2010, pp. 3-4.

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Exposures?" *CFA Magazine*, March/April 2004, p. 56.

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University Publications:

[1] Yau, J. "The Business Behind the Mission of Seattle University," in *The Jesuit Imagination: Exploring the Vision Behind the Mission of Seattle University: Faculty Resource Handbook*, Seattle University, October 2003.

Papers Presented at Academic Conferences:

[36] "Cash Holding, Uncertainty, and Stock Returns," (with Cao, C., and Chen, B.), Financial Management Association, San Diego, California, October 2018.

[35] "Cash Holding, Uncertainty, and Stock Returns," (with Cao, C. and Chen, B.), European Financial Management Association, Athens, Greece, 2017.

[34] "Dim Sum Bonds: Do They Whet Your Appetite?" (with Fung, H.-G., C.-H. Hsu, and W. Lee), Financial Management Association, Nashville, October 2014.

[33] "Efficient Socially Responsible Portfolios," (with I. Popova and B. Simkins), Eastern Finance Association, St. Pete Beach, Florida, April 2013.

[32] "The Effect of Sovereign Risk on Bond Duration: Evidence from European and Latin American Sovereign Bond Markets," (with H.W. Lee and Y.A. Xie), Southern Finance Association, Charleston, November 2012.

[31] "A Leader of the World Commodity Futures Markets in the Making? The Case of China's Commodity Futures," (with H.-G. Fung, Y. Tse, and L. Zhao), Financial Management Assoc., Atlanta, October 2012.

[30] "Transaction Tax and Market Quality of U.S. Futures Markets: An Ex Ante Analysis," (with C.J. Bjursell and G.H.K. Wang), Financial Management Association, Atlanta, October 2012.

[29] "Reported Trade Figure Discrepancy, Regulatory Arbitrage, and Round-tripping: Evidence from the China-Hong Kong Trade Data," (with Hung-Gay Fung and Gaiyan Zhang), International Conference on Chinese Financial Markets, Shanghai, China, July 2010.

[28] "Market Impediments and Regulatory Arbitrage: Evidence from China's Trade Figure Manipulation," (with Hung-Gay Fung and Gaiyan Zhang), The Sixth International Symposium on Multinational Business Management - Enterprise Management in a Transitional Economy, Nanjing, China, June 2008.

[27] "Are the U.S. Stock Market and Credit Default Swap Market Related?" (with Hung-Gay Fung, Greg Sierra, and Gaiyan Zhang), Financial Management Association, Orlando, October 2007.

[26] "Market Impediments, Trade, and Foreign Direct Investment: Evidence from China's Round-Tripping," (with Hung-Gay Fung and Gaiyan Zhang), 2007 China International Conference in Finance, Chengdu, China, July 2007.

[25] "Optimal Hedge Fund Allocation with Asymmetric Preferences and Distribution," (with I. Popova, D. Morton, and E. Popova), Financial Management Association, Salt Lake City, October 2006; Southern Finance

Association, Destin, November 2006.

[24] “Do Hedge Fund Managers Display Skill?” (with H.-G. Fung and X.E. Xu), Financial Management Association, Denver, October 2003.

[23] “The Linkage of REIT Income- and Price-Returns with Fundamental Economic Variables,” (with D. H. Downs, H.-G. Fung, and G.A. Patterson), Financial Management Association, Denver, October 2003.

[22] “Performance of Global Hedge Funds: An Analysis of Risk, Return and Market Timing,” (with H.-G. Fung and X. E. Xu), Financial Management Association, San Antonio, October 2002.

[21] “The Rise and Fall of a Financial Futures Market: The Red-Chip Index Futures of Hong Kong,” Financial Management Association, Toronto, Canada, October 2001.

[20] “The Performance of Individual Share Futures: Evidence from Hong Kong,” (with A. Gao, G. H.K. Wang, and T. Baptiste), Financial Management Association, Seattle, October 2000.

[19] "Trading Volume, Bid-Ask Spread, and Price Volatility in Futures Markets," (with G.H.K. Wang), Financial Management Association, New Orleans, October 1996.

[18] "Periodic Temporal Relationship Between Volume and Price Volatility in the S&P 500 Futures Market: An Intraday Analysis," (with G.H.K. Wang), Financial Management Association, New Orleans, October 1996.

[17] "Seasonality Effects in the Hong Kong Hang Seng Stock and Stock Index Futures Markets," Financial Management Association, Toronto, Canada, October 1993.

[16] "IPO's of Financial Institutions and Underwriter Price Stabilization," (with K. Alli and K. Yung), Financial Management Association, Toronto, Canada, October 1993.

[15] "Coverage of the Wall Street Journal and the Impact of Corporate Announcement on Share Prices: Evidence from Calls of Convertible Bonds," (with M.G. Ferri and T. Sugrue), Financial Management Association, San Francisco, October 1992.

[14] "Index Arbitrage and Cointegration of the S&P 500 Index and Index Futures Markets Surrounding the October 1987 Market Break," (with G.H.K. Wang), Financial Management Association, Chicago, October 1991.

[13] "The Underpricing of IPOs of Financial Institutions," (with K. Alli and K. Yung), Financial Management Association, Chicago, October 1991.

[12] "Performance Evaluation Models in Exchange Risk Management," (with T. Schneeweis and J. Hill), First Annual Futures Conference, Melbourne, Australia, 1990.

[11] "Differences in Policies for Calling Convertible Bonds and Market Reactions to Call Announcements," (with M.G. Ferri and T. Sugrue), Financial Management Association, Orlando, October 1990.

[10] "Asymmetric Information and IPO Underpricing: Evidence from the Financial Institutions," (with K. Alli and K. Yung), Eastern Finance Association, Charleston, April 1990.

[9] "Differential Market Reaction to Eurobond Financing," (with M.G. Ferri and T. Sugrue), Financial Management Association, Boston, October 1989.

[8] "Risk Assessment in International Financial Markets: An Analysis of Information and Extended Trading Time Effects," (with U. Savanayana and T. Schneeweis), Financial Management Association, Boston, October

1989.

[7] "The Uniqueness of Bank Loans: A Cross-Sectional Analysis," (with K. Yung and U. Savanayana), Financial Management Association, Boston, October 1989.

[6] "The Behavior of Stock Index Futures Prices and Arbitrage Opportunities in Asia," (with K. Yung), Eastern Finance Association Conference, Philadelphia, April 1989.

[5] "The Behavior of Stock Index Futures Prices in Hong Kong: Before and After the Crash," (with T. Schneeweis and K. Yung), The First Annual Pacific-Basin Finance Conference, Taipei, Taiwan, May 1989.

[4] "International Trading/Non-Trading Time Effects on Security Market Risk/Return Estimation," (with J. Hill and T. Schneeweis), Financial Management Association, New Orleans, October 1988.

[3] "Futures and Options in International Money Management," (with J. Hill and T. Schneeweis), Financial Management Association, Las Vegas, October 1987.

[2] "An Empirical Analysis of Alternative Portfolio Insurance Strategies in International Asset Management," Doctoral Student Seminar, Financial Mgt. Assoc., Las Vegas, October 1987.

[1] "The Nature and Efficiency of the Black Markets for Four Controlled Asian Currencies," (with R. Aggarwal), Annual Meeting of the Academy of International Business, Cleveland, 1984.

Professional Seminar Presentations:

[3] “Should You Make Alternative Investments A Part of Your Practice?” National Association of Personal Financial Advisors (NAPFA) 2001 West Region Conference, Maui, Hawaii, November 17, 2001.

[2] Moderator, “Roundtable on Alternative Investments,” NAPFA 2001 West Region Conference, Maui, Hawaii, November 17, 2001.

[1] Intermediate Options Seminar, Options Division, Stock Exchange of Hong Kong, 1999.

Invited Presentations:

[11] “Internationalization of RMB and Dim Sum Bonds,” College of Business Administration, University of Missouri, St. Louis, April 5, 2022 (Virtual).

[10] “An Analysis of Market Development of the Offshore Renminbi Bond (Dim Sum Bond) Markets,” Albers Scholarship Virtual Seminar Series, Seattle University, October 5, 2021.

[9] “The Offshore Renminbi (RMB) Bonds: Dim Sum and Others,” CFA Society Germany, Frankfurt, February 12, 2015.

[8] “The Offshore Renminbi (RMB) Bonds: Dim Sum and Others,” CFA Society Luxembourg, Luxembourg, February 11, 2015.

[7] “Wealth Management: Optimizing the Portfolio for the High-Net-Worth Individuals: Decision under Uncertainty,” Faculty Research Seminar, Seattle University, May 2009.

[6] “How to Make Money in the Stock Market Without Losing Your Shirt,” Global e-Business Program for Chungnam University of Korea, Center for e-Commerce and Information Systems, Seattle University, Summer

2004.

[5] “e-Finance and Globalization of Financial Markets,” Global e-Business Program for Hanyang University of Korea, Center for e-Commerce and Information Systems, Seattle University, Summer 2003.

[4] “Measuring Investment Performance,” Alumni Finance Lecture Series 2002, Seattle University, May 2002.

[3] “The Performance of Individual Share Futures: Evidence from Hong Kong,” NASDAQ, Washington, D.C., November 2000.

[2] “China’s Banking Reform,” Center for Asia-Pacific Economic Cooperation, George Mason University, November 2000.

[1] “Trading Volume, Bid-Ask Spread, and Price Volatility in Futures Markets,” Department of Systems Engineering and Engineering Management, The Chinese University of Hong Kong, December 1996.